

July 2, 2012

Rockville Centre, New York

The Board of Trustees held a Public Briefing Session on the above date in the Mayor's office. The meeting was called to order at 6:00 p.m.

PRESENT: Mayor Francis X. Murray, Trustees Nancy Howard, Kevin R. Glynn, Edward J. Oppenheimer and Michael Sepe

ABSENT: None.

ALSO PRESENT: Village Administrator Keith M. Spadaro, Village Attorney A. Thomas Levin, Deputy Village Administrator Finance/ Comptroller Michael Schussheim, Deputy Clerk Treasurer Monica Farrell, Police Commissioner Charles Gennario, Superintendent of Buildings Daniel Casella, IT Director John Peters, Superintendent of Public Works Harry Weed, Superintendent of Electric Paul Pallas, Superintendent of Recreation Anthony Brunetta, Deputy Director of Senior Services Chris O'Leary, Public Relations Counsel Jeff Kluewer, Secretary to Board Mary Schmeling

On motion by Trustee Oppenheimer, duly seconded by Trustee Glynn and unanimously carried, the Board voted to approve the following Resolution regarding a community garden on the Village pipeline property.

Whereas, the Village owns certain property commonly known as the Pipeline Property; and

Whereas, the Village heretofore has permitted owners of properties adjoining the Pipeline Property to occupy and use the same on a temporary basis, for limited purposes; and

Whereas, the RVC Conservancy, a not for profit entity, has requested permission to use a portion of such property, not presently being used by an adjoining property owner, for community garden purposes upon the same or similar terms and conditions as are applicable to the existing permit uses;

Now, therefore, be it

RESOLVED, that the Mayor, or his designee, is hereby authorized to grant to the RVC Conservancy a permit for use of that portion of the Pipeline Property which is located between 28 Jefferson Avenue and 36 Jefferson Avenue, for community garden purposes, upon the following terms and conditions:

1. Property must be maintained and kept subject to all Village laws and regulations;
2. Gardens (flowers and vegetables) are permitted;
3. No swimming pools or other buildings or structures are permitted. No paving or other impervious surface is permitted;
4. No parking of vehicles is permitted on the property;
5. Fencing of the perimeter of the property is permitted in compliance with Building Department requirements. Fencing shall be removed at permittee's expense upon request by the Village;
6. Written permission of the Building Department is required for any improvement which otherwise is permitted;

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7. Permittee may allow residents of the Village to use the property for community garden purposes;

8. All use of the subject property is subject to existing or future use for underground pipeline purposes. If maintenance of the pipeline is necessary, or access to the property for maintenance purposes is necessary, permittee (and any person using the property pursuant to authorization from permittee) shall take all actions necessary to facilitate such access and maintenance;

9. Permittee shall keep the property free of all debris and in a neat and orderly manner;

10. Permittee shall provide the Village with documentation, including endorsements, of liability insurance including the Village, its officers, agents and employees, as additional insureds, in an amount not less than \$300,000;

11. Permittee shall indemnify, and hold harmless, the Village, its officers, agents and employees from and against any and all claims, losses, damages and expenses (including attorneys' fees) in relation to or arising or resulting from any claim by any person arising from the use of the subject property by Permittee or anyone authorized by Permittee;

12. This permit may be revoked by the Village for any reason upon sixty (60) days written notice;

13. This permit is a revocable license and not a lease. Permittee acknowledges that it has no property rights in this license or in the subject Property;

14. Permittee, or any person acting under authority or with permission of Permittee, shall not remove any live trees from the property without the written permission of the Village Department of Public Works;

15. This permit shall be valid for the period commencing upon issuance and ending on May 31, 2013 unless sooner terminated.

Village Administrator Spadaro advised that properly advertised Bid No. 1205cpl (700) Tighe Field - Soccer Field restorations for the Village of Rockville Centre, had been opened on June 14, 2012, with the following results:

Vendor / Item	Total Project
R & R Landscaping	\$54,900.00
Custom Clay, Inc	\$67,872.00
CM Landscaping	\$73,200.00
Gatz Landscaping	\$73,500.00
J. Barwick	\$79,000.00
Louis Barbato Landscaping	\$79,900.00
Landtek Group Inc	\$80,000.00
P.S.L. Industries, Inc	\$94,800.00
Sweet Hollow Management Corp	\$106,999.00
Three Guys Maintenance	\$119,200.00
Keep It Green Landscaping	\$126,000.00
A.I.I. Allen Industries, Inc.	\$137,000.00

The following companies did not respond: D.F. Stone.

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On motion of Trustee Glynn, duly seconded by Trustee Sepe and unanimously carried, the Board awarded the bid to the lowest responsible bidder substantially meeting all required specifications, namely R&R Landscaping, for a total expenditure not to exceed \$54,900.

On motion by Trustee Oppenheimer, duly seconded by Trustee Howard and unanimously carried, the Board voted to convene in Executive Session to discuss legal advice and collective bargaining contract negotiations at 6:20 p.m.

On motion by Trustee Oppenheimer, duly seconded by Trustee Glynn and unanimously carried, the Board voted to approve the following Tax Certiorari Settlement Proposals presented in the June 25, 2012 letter from Anna Vikse, Esq. of Cullen and Dykman, LLP.

Rockville Links - 600 North Long Beach Road
Karp Automotive - 392 Sunrise Highway

On motion by Trustee Glynn, duly seconded by Trustee Howard and unanimously carried, the Board voted to approve the revised Woodlands Rental Agreement Contract effective 6-1-12 for five years.

On motion of Trustee Glynn, duly seconded by Trustee Howard and unanimously carried, the Board voted to return to Public Session and to adjourn the meeting at 6:35 p.m.

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The Regular Meeting of the Board of Trustees was held on the evening of the above date in the Village Hall. Mayor Murray opened the session at 7:00 p.m.

PRESENT: Mayor Francis X. Murray, Trustees Nancy Howard, Kevin R. Glynn, Edward J.

Oppenheimer and Michael Sepe

ABSENT: None

ALSO PRESENT: Village Administrator Keith M. Spadaro, Village Attorney A. Thomas Levin, Deputy Administrator-Finance/Comptroller Michael Schussheim, Superintendent of Electric Paul J. Pallas, Deputy Clerk Treasurer Monica Farrell, Police Commissioner Charles A. Gennario, Superintendent of DPW Harry Weed, Superintendent of Buildings Dan Casella, IT Director John Peters, Superintendent of Recreation Anthony Brunetta, Director of Senior Services Cyd B. Charrow, Public Relations Counsel Jeff Kluewer and Secretary to the Board of Trustees Mary Schmeling

ATTENDANCE: Approximately 11 people

Mayor Murray asked Commissioner Gennario to lead the Pledge of Allegiance.

Mayor Murray recessed the Regular Meeting and convened the Annual Organization Meeting.

Mayor Murray designated Nancy Howard to serve as Deputy Mayor, with the power to perform all of the duties of the Mayor in the event of the absence or the inability of the Mayor.

Trustee Howard moved that the Regular Meeting nights of the Board of Trustees be held on the first and third Monday of each month or on such dates as may be determined by the Mayor/Board of Trustees.

The motion was duly seconded by Trustee Glynn and unanimously carried.

DESIGNATION OF DEPOSITORIES:

On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously carried, the Board designated the following as Depositories for the Funds of the Village and adopted the following Resolution.

R E S O L U T I O N

WHEREAS, Valley National Bank, JP Morgan Chase Bank, First National Bank of Long Island, Citibank, N.A., Bank of America, M.B.I.A., (Municipal Investors Service Corporation), Capital One Bank, New York
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Commercial Bank, Flushing Commercial Bank, HSBC Bank, Signature Bank, Community National Bank and TD Bank are qualified depositories of the Incorporated Village of Rockville Centre funds.

WHEREAS, the elected and appointed officials of the Incorporated Village of Rockville Centre have this date been installed in office;

NOW, THEREFORE, BE IT RESOLVED, that Valley National Bank, JP Morgan Chase Bank, First National Bank of Long Island, Citibank, N.A., Bank of America, M.B.I.A., (Municipal Investors Service Corporation), Capital One Bank, New York Commercial Bank, Flushing Commercial Bank, HSBC Bank, Signature Bank, Community National Bank and TD Bank be designated as depositories of the Incorporated Village of Rockville Centre, a Municipal Corporation, deposits in said banks be subject to withdrawals upon checks, notes, drafts, undertakings or other orders of payment of money, except hereinafter set forth, when signed, on behalf of the Incorporated Village of Rockville Centre, by the Village Administrator/Clerk-Treasurer or, in the absence of the Village Administrator/Clerk-Treasurer, the Deputy Village Clerk-Treasurer. Amounts under \$5,000 require one signature. Amounts in excess of \$5,000 require the signature of the Mayor, or Deputy Mayor, and the Village Clerk-Treasurer or the Deputy Village Clerk-Treasurer, and

BE IT FURTHER RESOLVED, that the funds in the Defense Bond Payroll Deduction Account, authorized by Resolution of the Board of Trustees on March 25, 1942 and funds in the Withholding Tax Deductions Account, shall be subject to withdrawal by checks when signed on behalf of the Incorporated Village of Rockville Centre, a Municipal Corporation, by the Village Administrator/Clerk Treasurer, or, in the absence of the Village Administrator/Clerk Treasurer, by the Deputy Village Clerk-Treasurer, and

BE IT FURTHER RESOLVED, that whenever the Incorporated Village of Rockville Centre, a Municipal Corporation, by Resolution of the Board of Trustees, determines to borrow or to obtain credit for the Incorporated Village of Rockville Centre from Valley National Bank, JP Morgan Chase Bank, First National Bank of Long Island, Citibank, N.A., Bank of America, M.B.I.A. (Municipal Investors Service Corporation), Capital One Bank, New York Commercial Bank, Flushing Commercial Bank, HSBC Bank, Signature Bank, Community National Bank and TD Bank the Village Administrator/Clerk-Treasurer or, in the absence of the Village Clerk-Treasurer, the Deputy Village Clerk-Treasurer, are hereby authorized to sign notes or other obligations of the Incorporated Village of Rockville Centre, therefore, in form satisfactory to said bank and to execute and deliver all instruments and to affix the Corporate Seal of the Incorporated Village of Rockville Centre thereto, and

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BE IT FURTHER RESOLVED, that the Village Administrator/Clerk-Treasurer, be, and he is hereby authorized to certify to Valley National Bank, JP Morgan Chase Bank, First National Bank of Long Island, Citibank, N.A., Bank of America, M.B.I.A. (Municipal Investors Service Corporation), Capital One Bank, New York Commercial Bank, Flushing Commercial Bank, HSBC Bank, Signature Bank, Community National Bank and TD Bank the foregoing Resolution.

COLLATERALIZING OF DEPOSITS:

In accordance with the provisions of General Municipal Law, Section 10, all deposits of the Village of Rockville Centre, including certificates of deposit and special time deposits, in excess of the amount insured under the provisions of the Federal Deposit Insurance Act shall be secured:

1. By a pledge of "eligible securities" with an aggregate "market value" as provided by GML Section 10, equal to the aggregate amount of deposits from the categories designated in Appendix A to the policy.
2. By an eligible "irrevocable letter of credit" issued by a qualified bank, other than the bank with the deposits, in favor of the government, for a term not to exceed 90 days, with an aggregate value equal to 140% of the aggregate amount of deposits and the agreed upon interest, if any. A qualified bank is one whose commercial paper and other unsecured short-term debt obligations are rated in one of the three highest rating categories by at least one nationally recognized statistical rating organization or by a bank that is in compliance with applicable Federal minimum risk-based capital requirements.
3. By an eligible surety bond payable to the government for an amount at least equal to 100% of the aggregate amount of deposits and the agreed upon interest, if any, executed by an insurance company authorized to do business in New York State, whose claims-paying ability is rated in the highest rating category by at least two nationally recognized statistical rating organizations.

PERMITTED INVESTMENTS:

As authorized by General Municipal Law, Section 11, the Village of Rockville Centre authorizes the Clerk/Treasurer to invest moneys not required for immediate expenditure for terms not to exceed its projected cash flow needs, in the following types of investments:

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- . Special time deposit accounts;
- . Certificates of deposit;
- . Obligations of the United States of America;
- . Obligations guaranteed by agencies of the United States of America

where the payment of principal and interest are guaranteed by the United States of America;

- . Obligations of the State of New York;
- . Obligations of public authorities, public housing authorities, urban renewal agencies and industrial development agencies where the general State statutes governing such entities or whose specific enabling legislation authorizes such investments.

On motion by Trustee Oppenheimer, duly seconded by Trustee Sepe and unanimously carried, the Board designated the following as Financial Advisor for the Village of Rockville Centre:

New York Municipal Advisors Corporation (NYMAC)
50 Jackson Avenue
Syosset, New York

On motion by Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, the Board designated the following as Fiscal Agent for the Village of Rockville Centre:

The Depository Trust and Clearing Corp.

On motion by Trustee Howard, duly seconded by Trustee Glynn and unanimously carried, the Board designated the following law firms as Bond Counsel for the Village of Rockville Centre:

Fulbright & Jaworski LLP
666 Fifth Avenue
New York, NY 10103

Harris Beach, PLLC
333 Earle Ovington Blvd.
Uniondale, NY 11553

Mayor Murray appointed Paul J. Pallas, Superintendent of Electric Utilities, as the accredited New York Association of Public Power (NYAPP) and American Public Power Association (APPA) voting delegate of the Board of Trustees of the Village of Rockville Centre for the Fiscal Year 2013.

On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously carried, said appointment was approved.

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On motion by Trustee Oppenheimer, duly seconded by Trustee Sepe and unanimously carried, the Board of Trustees formally adopted the Village of Rockville Centre Investment Policy.

INVESTMENT POLICY OF THE VILLAGE OF ROCKVILLE CENTRE

§1. Scope.

This investment policy applies to all moneys and other financial resources available for investment by the Village or by any other entity or individual on behalf of the Village.

§2. Objectives.

The primary objectives of the Village's investment activities are to:

- A. Conform with all applicable federal, state and other legal requirements;
- B. Adequately safeguard principal;
- C. Provide sufficient liquidity to meet all operating requirements; and
- D. Obtain a reasonable rate of return

§ 3. Delegation of authority.

The responsibility of the Board of Trustees for administration of the Village's investment program is delegated to the Village Treasurer, who shall establish written procedures for the operation of the investment program consistent with these program guidelines. Such procedures shall include an adequate internal control structure to provide a satisfactory level of accountability based on a data base or records incorporating description and amounts of investments, transaction dates, and other relevant information and regulate the activities of subordinate employees.

§ 4. Prudence.

All participants in the investment process shall seek to act responsibly as custodians of the public trust and shall avoid any transaction that might impair public confidence in the Village to govern effectively.

- A. Investments shall be made with judgment and care, under circumstances then

prevailing, which persons of prudence, discretion and intelligence exercise in the management of their own affairs, not for speculation, but for investment, considering the safety of the principal as well as the probable income to be derived.

B. All participants in the investment process shall refrain from personal business activity which could conflict with proper execution of the investment program, or which could impair their ability to make impartial investment decisions.

§5. Diversification.

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It is the policy of the Village to diversify its deposits and investments by financial institution, by investment instrument, and by maturity scheduling.

§6. Internal controls.

A. It is the policy of the Village for all moneys collected by any officer or employee of the Village to transfer those funds to the Village Treasurer within three days of deposit, or within the time period specified by law, whichever is shorter.

B. The Village Treasurer is responsible for establishing and maintaining an internal control structure to provide reasonable, but not absolute, assurance that deposits and investments are safeguarded against loss from unauthorized use or disposition, that transactions are executed in accordance with management's authorization and recorded properly, and are managed in compliance with applicable laws and regulations.

§7. Designation of depositories.

The Board of Trustees shall establish a list of banks and trust companies authorized for the deposit of moneys. Unless otherwise provided by the Board of Trustees, deposits in each may be made and maintained to the maximum amount for which Federal Deposit Insurance Act insurance is available or other maximum amount as set forth in an approved collateral agreement between the Village and such institution.

§8. Collateralizing of deposits.

In accordance with the provisions of General Municipal Law § 10, all deposits of the Village, including certificates of deposit and special time deposits, in excess of the amounts insured under the provisions of the Federal Deposit Insurance Act shall be secured:

A. by a pledge of "eligible securities" with an aggregate market value as provided by General Municipal Law § 10, equal to the aggregate amount of deposits from the categories designated in Appendix A of this policy;

B. by an irrevocable letter of credit, issued by a qualified bank other than the bank with the deposits, in favor of the Village for a term not to exceed 90 days with an aggregate value at least equal to 140% of the aggregate amount of deposits and the agreed upon interest, if any. A qualified bank is one whose commercial paper and other unsecured short-term debt obligations are rated in one of the three highest rating categories by at least one nationally recognized statistical rating organization or by a bank that is in compliance with applicable federal minimum risk-based capital requirements. Notwithstanding the foregoing, a letter of credit issued by a federal home loan bank may have a term in excess of 90 days, and shall have an aggregate value at least equal to 100% of the aggregate amount of deposits and the agreed upon interest, if any; or

C. by an eligible surety bond, in form approved by the

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Village Attorney, payable to the Village for an amount at least equal to 100% of the aggregate amount of deposits and the agreed upon interest, if any, executed by an insurance company authorized to do business in New York State, whose claims-paying ability is rated in the highest rating category by at least two nationally recognized statistical rating organizations.

§9. Safekeeping and collateralization.

Eligible securities used for collateralizing deposits shall be held by a third-party bank or trust company subject to security and custodial arrangements.

A. The security agreement shall be in a form approved by the Village Attorney, and shall provide that eligible securities are being pledged to secure local government deposits together with agreed upon interest, if any, and any costs or expenses arising out of the collection of such deposits upon default. It shall also provide the conditions under which the securities may be sold, presented for payment, substituted or released, and the events which will enable the local government to exercise its rights against the pledged securities. In the event that the securities are not registered or inscribed in the name of the Village, such securities shall be delivered in a form suitable for transfer, or with an assignment in blank, to the Village or its custodial bank.

B. The custodial agreement shall be in a form approved by the Village Attorney, and shall provide that securities held by the bank or trust company, or agent of and custodian for, the local government, will be kept separate and apart from the general assets of the custodial bank or trust company and will not, in any circumstances, be

commingled with or become part of the backing for any other deposit or other liabilities. The agreement should also describe that the custodian shall confirm the receipt, substitution or release of the securities. The agreement shall provide for the frequency of revaluation of eligible securities and for the substitution of securities when a change in the rating of a security may cause ineligibility. Such agreement shall include all provisions necessary to provide the Village a perfected interest in the securities.

§10. Permitted investments.

A. As authorized by General Municipal Law § 11, the Village authorizes the Village Treasurer to invest moneys not required for immediate expenditure for terms not to exceed its projected cash flow needs in the following types of investments:

- (1) Special time deposit accounts.
- (2) Certificates of deposit.
- (3) Obligations of the United States of America, an agency thereof or a United States government sponsored corporation or obligations fully insured or guaranteed as to the payment of principal and interest by the United States of America, an agency thereof, or a United States government sponsored corporation.
- (4) Obligations of the State of New York.

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(5) Obligations issued pursuant to Local Finance Law § 24.00 or 25.00 (with approval of the State Comptroller) by any municipality, school district or district corporation other than the Village.

(6) Obligations of public authorities, public housing authorities, urban renewal agencies and industrial development agencies where the general state statutes governing such entities or whose specific enabling legislation authorizes such investments.

(7) Certificates of Participation (COPS) issued pursuant to General Municipal Law § 109-b.

(8) Obligations of the Village, but only with any moneys in a reserve fund established pursuant to General Municipal Law §§ 6-c, 6-d, 6-e, 6-g, 6-h, 6-j, 6-k, 6-l, 6-m or 6-n.

(9) Obligations issued or fully insured or guaranteed by the State of New York, obligations issued by a municipal corporation, school district or district corporation of New York State or obligations of any public benefit corporation which under a specific state statute may be accepted as security for deposit of public moneys.

(10) Obligations issued or fully guaranteed by the International Bank for Reconstruction and Development, the Inter-American Development Bank, the Asian Development Bank, and the African Development Bank.

(11) Obligations partially insured or guaranteed by any agency of the United States of America, at a proportion of the market value of the obligation that represents the amount of the insurance or guaranty.

§11. Authorized financial institutions and dealers.

The Village shall maintain a list of financial institutions and dealers approved for investment purposes and establish appropriate limits to the amount of investments which can be made with each financial institution or dealer. All financial institutions with which the Village conducts business must be creditworthy. Banks shall provide their most recent Consolidated Report of Condition (Call Report) at the request of the Village. Security dealers not affiliated with a bank shall be required to be classified as reporting dealers affiliated with the New York Federal Reserve Bank, as primary dealers. The Village Treasurer is responsible for evaluating the financial position and maintaining a listing of proposed depositories, trading partners, and custodians. Such listing shall be evaluated at least annually.

§12. Purchase of investments.

A. The Village Treasurer is authorized to contract for the purchase of investments:

(1) directly, including through a repurchase agreement, from an authorized trading partner;

(2) by participation in a cooperative investment program with another authorized governmental entity pursuant to Article 5-G of the General Municipal Law where such program meets all the requirements set forth in the Office of the State Comptroller Opinion No. 88-46, and the specific program has been authorized by the Board of Trustees; or

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(3) By utilizing an ongoing investment program with an authorized trading partner pursuant to a contract authorized by the Village Board of Trustees.

B. All purchased obligations, unless registered or inscribed in the name of the Village, shall be purchased through, delivered to and held in the custody of a bank or trust company. Such obligations shall be purchased, sold or presented for redemption or payment by any bank or trust company only in accordance with prior written authorization from the officer authorized to make the investment. All such transactions shall be

confirmed in writing to the Village by the bank or trust company. Any obligation held in the custody of a bank or trust company shall be held pursuant to a written custodial agreement as described in General Municipal Law § 10.

C. The custodial agreement shall be in a form approved by the Village Attorney and be in substantial compliance with the Model Agreement promulgated by the New York State Department of Audit and Control. The custodial agreement shall provide that securities held by the bank or trust company, as agent of and custodian for, the local government, will be kept separate and apart from the general assets of the custodial bank or trust company, and will not, in any circumstances, be commingled with or become part of the backing for any other deposit or other liabilities. The agreement also shall describe how the custodian shall confirm the receipt and release of the securities. Such agreement shall include all provisions necessary to provide the Village a perfected interest in the securities.

§13. Repurchase agreements. Repurchase agreements are not authorized.

APPENDIX A

Schedule of Eligible Securities

100% ___ (i) Obligations issued, or fully insured or guaranteed as to the payment of principal and interest, by the United States of America, an agency thereof or a United States government sponsored corporation.

100% ___ (ii) Obligations issued or fully guaranteed by the International Bank for Reconstruction and Development, the InterAmerican Development Bank, the Asian Development Bank, and the African Development Bank.

100% ___ (iii) Obligations partially insured or guaranteed by any agency of the United States of America, at a proportion of the Market Value of the obligation that represents the amount of the insurance or guaranty.

100% ___ (iv) Obligations issued or fully insured or guaranteed by the State of New York, obligations issued by a municipal corporation, school district or district corporation of such State or obligations of any public benefit corporation which under a specific State statute may be accepted as security for deposit of public moneys.

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100% ___ (v) Obligations issued by states (other than the State of New York) of the United States rated in one of the three highest rating categories by at least one nationally recognized statistical rating organization.

100% ___ (vi) Obligations of Puerto Rico rated in one of the three highest rating categories by at least one nationally recognized statistical rating organization.

100% ___ (vii) Obligations of counties, cities and other governmental entities of a state other than the State of New York having the power to levy taxes that are backed by the full faith and credit of such governmental entity and rated in one of the three highest rating categories by at least one nationally recognized statistical rating organization.

80% ___ (viii) Obligations of domestic corporations rated in one of the two highest rating categories by at least one nationally recognized statistical rating organization.

60% ___ (ix) Any mortgage related securities, as defined in the Securities Exchange Act of 1934, as amended, which may be purchased by banks under the limitations established by bank regulatory agencies.

100% ___ (x) Commercial paper and bankers' acceptances issued by a bank, other than the Bank, rated in the highest short term category by at least one nationally recognized statistical rating organization and having maturities of not longer than 60 days from the date they are pledged.

100% ___ (xi) Zero coupon obligations of the United States government marketed as "Treasury strips."

On motion by Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, the Board of Trustees formally adopted the Village of Rockville Centre Procurement policy.

PROCUREMENT POLICY FOR THE INCORPORATED VILLAGE OF ROCKVILLE CENTRE

Adopted July 2, 2012

1. Every purchase under consideration must be initially reviewed to determine whether it is a purchase contract or a public works contract. Once that determination is made, a good faith effort will be made to determine whether it is known, or can reasonably be expected, that the aggregate amount to be spent on the item of supply or service is not subject to competitive bidding, taking into account past purchases and the aggregate amount to be spent in a year. Pursuant to Section 103 of New York State General Municipal law, the following items are not subject to competitive bidding.

- purchase contracts under \$20,000;

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- public works contracts under \$35,000;
- emergency purchases;
- certain municipal hospital purchases;
- goods purchased from agencies for the blind or severely handicapped;
- goods purchased from correctional institutions; purchases under State and County contracts;
- surplus and second-hand purchases from another governmental entity.

The decision that a purchase is not subject to competitive bidding will be documented in writing by the individual making the purchase. Examples of documentation include written or verbal quotes from vendors, a memo from the purchaser indicating how the decision was arrived at, a copy of the contract indicating the source that makes the item or service exempt, a memo from the purchaser detailing the circumstances which led to an emergency purchase, or any other written documentation that is appropriated.

All goods and services will be secured by use of written requests for proposals, written quotations, verbal quotations, or another method that assures that goods will be purchased at the lowest price and that favoritism will be avoided, except in the following circumstances:

- purchase contracts \$20,000 or more;
- public works contracts \$35,000 or more;
- goods purchased from agencies for the blind or severely handicapped, pursuant to Section 175-b of the State Finance Law;
- goods purchased from correctional institutions, pursuant to Section 186 of the Correctional Law;
- purchases under State contracts, pursuant to Section 104 of the General Municipal Law;
- purchases under county contracts, pursuant to Section 103(3) of the General Municipal Law;
- purchases pursuant to Subdivision 6 of this policy:

2. The following method of purchase will be used when required by this policy in order to achieve the highest savings:

<u>Estimated Amount of Purchase Contract</u>	<u>Method</u>
\$500 - \$4,999	Discretion of the Village's Purchasing Agent
\$5,000 - \$19,999	Written/Faxed quotations Or written
request for	

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proposals. (# of quotes:

Discretion of Village's
Purchasing Agent)

Estimated Amount of
Public Works Contract

Method

\$500 - \$4,999

Discretion of the
Village's Purchasing Agent

\$5,000 - \$34,999

Written/faxed

quotations or
proposals

written request for

(# of quotes: Discretion of

Village's

Purchasing Agent)

3. A good faith effort shall be made to obtain the required number of proposals or quotations. If the purchaser is unable to obtain the required number of proposals or quotations, the purchaser will document the attempt made at obtaining the required number of proposals. In no event shall the failure to obtain the proposals be a bar to the procurement.

4. Documentation is required of each action taken in connection with each procurement.

5. Documentation and an explanation is required whenever a contract is awarded other than to the lowest responsible offeror. This documentation will include an explanation of how the award will achieve savings or the grounds upon which it has been determined that the lowest bidder was not responsive or responsible.

6. Pursuant to General Municipal Law, Section 104-b(2) (f), the procurement policy may contain circumstances when, or types of procurements for which, in the sole discretion of the governing body, the solicitation of alternative proposals or quotations will not be in the best interest of the municipality. In the following circumstances, it may not be in the best interest of the Incorporated Village of Rockville Centre to solicit quotations or document the basis for not accepting the lowest bid:

a. Professional services or services requiring special or technical skills training or expertise. The individual or company must be chosen based on accountability, reliability, responsibility, skill education and training judgment, integrity and moral worth. These qualifications are not necessarily found in the

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individual or company that offers the lowest price and the nature of these services are such they do not readily lend themselves to competitive procurement procedures.

In determining whether a service fits into this category, the Mayor/Board of Trustees shall take into consideration the following guidelines: (a) whether the services are subject to substantial formal education (b) or training is a necessary prerequisite to the performance of the services; and whether the services require a personal relationship between the individual and municipal officials. Professional or technical services shall include, but not be limited to the following: services of an attorney; services of a physician; technical services of an engineer engaged to prepare plans, maps and estimates; securing insurance coverage and/or services of an insurance broker services of a certified public accountant, investment management services; printing services involving extensive writing, editing or art work; management of municipally owned property; and computer software or programming services for customized program, or services involved in substantial modification and customizing of pre-packaged software. Any professional services contract equaling or exceeding \$10,000 would require Board approval at a public session.

b. Emergency purchases pursuant to Section 103 (4) of the General Municipal Law. Due to the nature of this exception, these goods or services must be purchased immediately and a delay in order to seek alternate proposals may threaten the life, health, safety or welfare of the residents. This section does not preclude alternate proposals if time permits.

c. Purchases of surplus and second-hand goods from any source.

d. Goods or services under \$500. The time and documentation required to purchase through this policy may be more costly than the item itself and would, therefore, not be in the best interests of the taxpayer. In addition, it is not likely that such de minimis contracts would be awarded based on favoritism.

7. In addition to utilizing New York State and Nassau County Procurement contracts, the Purchasing Agent is hereby authorized to utilize any and all procurement contracts issued by any other municipality and or government entity authorized by New York State law.
8. When a Village credit card is utilized, its usage is limited to actual and necessary village expenses. Any expenditure must be accompanied by an itemized receipt that certifies that the usage was for a Village purpose and that the payee is the supplier of the goods or services for which the receipt has been submitted.
9. Pursuant to the requirement established in Chapter 402, Laws of 2007, the Board of Trustees hereby designates Anne Sheppard
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as Village Purchasing Agent. The Village Purchasing Agent is responsible for purchasing all supplies and materials and Public Works contracts that are deemed necessary for the Village of Rockville Centre. In the absence of the Purchasing Agent, the Comptroller may perform the duties of the Purchasing Agent, or designate another Village employee to perform such duties.

10. At the discretion of the Village's Purchasing agent, bids may be submitted in electronic format. The receiving device will be designated, as appropriate, by the Village Purchasing Agent.

On motion by Trustee Howard, duly seconded by Trustee Glynn and unanimously carried, the Board of Trustees formally adopted the Village of Rockville Centre No-Smoking Policy.

INCORPORATED VILLAGE OF ROCKVILLE CENTRE
NO-SMOKING POLICY

Smoking Prohibited

Smoking is prohibited in all facilities of the Incorporated Village of Rockville Centre (Village).

Adherence to New York State Law

The Village adheres to the smoking restrictions as set forth in Section 1399-o of the New York State Public Health Law, adopted and effective as of July 24, 2003.

On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously carried, the Board of Trustees formally adopted the Village of Rockville Centre Freedom of Information Policy.

INCORPORATED VILLAGE OF ROCKVILLE CENTRE
PUBLIC ACCESS TO RECORDS POLICY

Purpose and Scope

The Incorporated Village of Rockville Centre (Village) provides access to Village records in compliance with New York State's Freedom of Information Law (Section 84 et seq. of the Public Officers Law).

Records Access Officer

The Village Records Access Officer (Access Officer) is the Village Attorney, who is responsible for obtaining department

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head responses as to the availability of documents reasonably described in public access applications, and for determining whether such records are subject to public access.

Response to Access Requests

The Access Officer responds to written requests for Village records within five (5) business days of receipt of the request. The Access Officer's written response will either grant the request, deny the request or acknowledge receipt of the request and set forth a time frame in which the Village will respond to the request. When a requested Village record is available and subject to inspection, the Access Officer will identify the Village facility at which the applicant can inspect the record.

Inspection of Public Records

The public record shall be available for inspection during normal Village hours or at a time arranged with the Village facility that stores the record.

Copying of Public Records

After inspection, the applicant may request a copy of the record for a fee of \$.25 for each page that is 9 by 14 inches or less. For a copy of a record that is 9 by 14 inches or more, the fee is the actual copying cost excluding fixed Village costs such as salaries. No fee is charged for the inspection itself or for the Village's search for the record.

Denial of Access; Appeals

When a request is denied, the Access Officer will provide the reason for the denial and advise the applicant that he or she may appeal from the denial. The appeal must be filed within thirty (30) days of the denial. The appeal is to the Mayor of the Village, who is located at One College Place, Rockville Centre, NY 11570. Upon receipt of an appeal, the Mayor shall transmit a copy to the New York State Committee of Open Government (NYSCOOG) at the Department of State, located at 41 State Street, Albany, NY 12231. The appeal will be decided within ten (10) days and a copy of the decision will be transmitted to the NYSCOOG as well.

On motion by Trustee Oppenheimer, duly seconded by Trustee Sepe and unanimously carried, the Board of Trustees formally adopted the Village of Rockville Centre Non-Discrimination, Non-Harassment and Non-Retaliation Policy.

INCORPORATED VILLAGE OF ROCKVILLE CENTRE
EMPLOYMENT POLICY
NON-DISCRIMINATION, NON-HARASSMENT AND NON-RETALIATION

POLICY:

The Village is proud of its tradition of maintaining a friendly work environment with congenial, professional relationships among employees that are free of discrimination and harassment.

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Consistent with its values, and in compliance with federal, state and county laws, the Village is an equal opportunity employer and does not discriminate in its hiring or treatment of employees on the basis of race, color, religion, sex, national origin, age, disability, veteran status, sexual orientation, predisposing genetic characteristics, marital status, or domestic violence victim status. Nor does the Village permit any employee to engage in conduct in violation of the law.

This equal employment commitment will continue to make the Village of Rockville Centre an employer that attracts and holds the very best people, and enables them to contribute their very best work.

All officers and employees are required to review and adhere to this policy, which prohibits discrimination and harassment, as well as retaliation in response to discrimination or harassment complaints. Sexual harassment is included as misconduct that is prohibited by law and the Village. Any Village employee who engages in discrimination, harassment or retaliation shall be subject to discipline, which, after investigation, could include discipline, up to and including termination of employment.

LAWS AGAINST DISCRIMINATION:

Title VII of the Civil Rights Act of 1964, prohibits discrimination against applicants for employment and employees on the basis of race, color, creed, religion, sex, and/or national origin. The Age Discrimination Employment Act (ADEA) prohibits discrimination

on the basis of age. The Americans with Disabilities Act (ADA) prohibits discrimination on the basis of disability. The New York State Human Rights Law prohibits discrimination based on age, race, creed, color, national origin, sexual orientation, military status, sex, disability, predisposing genetic characteristics, marital status, or domestic violence victim status. Both federal and state laws also prohibit retaliation against individual who exercise their rights under the applicable statutes.

DEFINITIONS

SEXUAL HARASSMENT is expressly prohibited conduct and includes unwelcome requests for sexual favors or verbal or physical conduct of a sexual nature when:

1. Submission to such conduct is made explicitly or implicitly a term or condition of employment; or
2. Submission to or rejection of such conduct by an employee is used as the basis for employment decisions affecting the employee; or
3. Such conduct has the purpose or effect of substantially interfering with an employee's work performance or creating an intimidating, hostile or offensive working environment.

Sexual harassment includes, but is not limited to:

1. Sexually oriented "kidding," "teasing" or jokes; or
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2. Repeated offensive sexual flirtations, propositions; or implicit threats of retribution or
3. Repeated verbal abuse of a sexual nature; or
4. Graphic or degrading comments about an individual or his or her appearance; or
5. The display of sexually suggestive objects or pictures; or
6. Subtle pressure for any sexual activity; or
7. Unwelcome physical contact.

Sexual harassment does not refer to occasional socially acceptable compliments or consensual personal and social relationships without a discriminatory employment effect. Instead, it refers to behavior that is not welcome and that is personally intimidating, hostile or offensive to an employee or employees in the workplace.

OTHER FORMS OF PROHIBITED HARASSMENT

Also strictly prohibited is harassment on the basis of race, color, religion, creed, national origin, citizenship, age, disability, pregnancy, marital status, veteran status, sexual orientation or any other status protected by law.

Other forms of prohibited harassment include, but are not limited to:

1. Abusive language based on the employee's protected status, including innuendoes, slurs, derogatory or insulting comments or sounds, threats and jokes
2. Abusive written language (E-mail or otherwise) showing offensive objects, pictures, graphic commentaries or gestures in the workplace, which interfere with employee's work performance or creates an intimidating, hostile, or offensive work environment
3. Unwelcome physical contact based on employee's protected status.

RETALIATION:

Village officers or employees shall not retaliate against or harass any person for filing any type of harassment or discrimination complaint, or for filing any request for a reasonable accommodation for a disability or religious observance.

No Village employee shall retaliate against any other employee for cooperating in the investigation of any employment discrimination or harassment complaint.

Retaliatory acts include, but are not limited to, discharge, demotion, reduction in pay, failure to hire or promote, unreasonable working conditions, unfair employment references or the placement of false information in an employee's personnel file.

Any Village employee who engages in retaliation shall be subject to discipline, which, after investigation, could include any kind of discipline, up to and including termination of employment.

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Anyone who believes that he or she has been retaliated against for filing a discrimination or harassment complaint, or for cooperating in the investigation of a complaint, should promptly follow the complaint procedures set forth below.

DISCRIMINATION, HARASSMENT AND RETALIATION COMPLAINT PROCEDURE

REPORTING

No adverse employment action will be taken against you for reporting a discrimination, harassment, or retaliation violation of this policy.

If you believe that you have been treated contrary to Village policy, you should promptly report the discrimination, harassment or retaliation verbally or in writing. A complaint form is available from your Department Head or the Equal Employment Officer (EEO).

Reports can be made to:

1. Your immediate supervisor, or
2. A Department Head, or
3. The Equal Employment Officer.

Your report should include details about the incident(s), the names of individuals involved, and the names of any witnesses.

You may report harassment, discrimination and retaliation, even if you are not personally the target of the behavior.

REFERRAL

Supervisors and Department Heads must refer all discrimination, harassment or retaliation complaints to the Village EEO, along with a completed complaint form. In addition, supervisors must report the complaint to the Department Head. This includes any conduct of which they may be aware even if no report or complaint has been made.

INVESTIGATION

Although the privacy of an employee reporting a complaint is of paramount importance, the Village will immediately undertake a thorough and objective investigation of the discrimination, harassment or retaliation allegations. Investigations may consist of interviews of the employee or employees who report the harassment, discrimination or retaliation, as well as of the alleged harasser and any other relevant witnesses. In addition, the Village will respond to any legal and/or administrative proceeding arising out of or relating to the complaint. Those made privy to the complaint through the need to investigate are charged with the obligation of preserving its confidentiality.

Investigations shall take place in accordance with the employees' union contract.

DISPOSITION/ACTION

If the Village determines that unlawful discrimination, harassment or retaliation has occurred, it will take immediate remedial action against any employee determined to be

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responsible. Disciplinary action may include suspension without pay or termination.

INCORPORATED VILLAGE OF ROCKVILLE CENTRE DISCRIMINATION, HARASSMENT AND RETALIATION COMPLAINT PROCEDURE

REPORTING:

No adverse employment action will be taken against you for reporting a discrimination, harassment, or retaliation violation of this policy. If you believe that you have been treated contrary to Village policy, you should promptly report the discrimination, harassment or retaliation verbally or in writing. A complaint form is available from your Department Head or the Non-Discrimination, Non-Harassment and Non-Retaliation Officer.

Reports can be made to:

1. Your immediate supervisor, or

2. A Department Head, or

3. The Non-Discrimination, Non-Harassment and Non-Retaliation Officer.

Your report should include details about the incident(s), the names of individuals involved, and the names of any witnesses. You may report harassment, discrimination and retaliation, even if you are not personally the target of the behavior.

REFERRAL:

Supervisors and Department Heads must refer all discrimination, harassment or retaliation complaints to the Village Non-Discrimination, Non-Harassment and Non-Retaliation Officer, along with a completed complaint form.

In addition, supervisors must report the complaint to the Department Head.

INVESTIGATION:

Although the privacy of an employee reporting a complaint is of paramount importance, the Village will immediately undertake a thorough and objective investigation of the discrimination, harassment or retaliation allegations. Investigations may consist of interviews of the employee or employees who report the harassment, discrimination or retaliation, as well as of the alleged harasser and any other relevant witnesses. In addition, the Village will respond to any legal and/or administrative proceeding arising out of or relating to the complaint. Those made privy to the complaint through the need to investigate are charged with the obligation of preserving its confidentiality. Investigations shall take place in accordance with the employees' union contract.

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DISPOSITION/ACTION:

If the Village determines that unlawful discrimination, harassment or retaliation has occurred, it will take immediate remedial action against any employee determined to be responsible. Disciplinary action may include suspension without pay or termination.

NON-DISCRIMINATION, NON-HARASSMENT, NON-RETALIATION OFFICERS:

Cyd B. Charrow can be reached at Ext. 268.

On motion by Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, the Board of Trustees formally adopted the Village of Rockville Centre Records Management Policy.

INCORPORATED VILLAGE OF ROCKVILLE CENTRE
RECORDS MANAGEMENT POLICY

Be it Resolved by the Board of Trustees of the Incorporated Village of Rockville Centre, Nassau County, New York, as follows:

SECTION 1 Legislative Intent:

A. The Board of Trustees of the Incorporated Village of Rockville Centre declares and finds it to be in the public interest and for the public good to establish a Records Management Program to protect and maintain records pertaining to the Incorporated Village of Rockville Centre. The purpose of this Article is to promote the orderly protection of the records pertaining to the Village in compliance with the law; to facilitate the creation of usable records containing accurate and complete information; to avoid the creation of unnecessary records; to establish a lasting commitment to an ongoing systematic Records Retention Program through disposition at regular intervals; and to require all Village employees to contribute to the objectives of the Records Management Program.

B. It is intended to ensure that records are retained as long as needed for the Village's administrative, legal, fiscal and other uses and as long as required by local, State and Federal agencies for fiscal, oversight and other purposes.

C. It is intended to enable the Village to maintain in an orderly and safe manner its

records to document policies and decisions and to facilitate the identification of records of archival value.

D. It is further intended to facilitate the prompt and systematic disposal of those records that are no longer needed for administrative, legal, fiscal or other purposes.

E. It is further intended to enable the Village to facilitate efficient and cost-effective retrieval of documents from

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inactive or archival storage through the elimination of time and effort required to sort through superfluous records to find needed information.

SECTION 2 Definitions:

AS used in this Article, the following words and phrases shall have these meanings:

A. Inactive Storage

Facilities, including, but not limited to, files, drawers and computer records and indexing that are designated for inactive records.

B. Records

1. Active records required for the conduct of current business.

2. Archival records having sufficient legal, administrative, fiscal or historical value to warrant their permanent retention.

3. Inactive records no longer required for the conduct of current business, but whose retention periods have not expired.

4. Obsolete records which should be disposed of in accordance with SARA Records Retention and Disposition Schedules.

5. Public records are defined as any book, paper, map, photograph, micro-photograph or other information storage device, regardless of physical form or characteristic, which is the property of the Village, or which any Officer or employee of any of said bodies has received or is required to receive for filing.

C. Records Advisory Board or Committee

Members are designated by the Mayor through formal appointment to assist in the inventory and needs assessment; shall include Counsel and Fiscal officer; may include residents of the Community.

D. Records Center(s)

Adequate designated space dedicated specifically for the storage, processing and servicing of non-current (inactive) and archival records for all Local Government Departments and Agencies and of indices to same.

E. Records Disposal Approval Process

Disposal or destruction of records in compliance with SARA Records Retention and Disposition Schedule.

F. Records Management Officer

Responsible for the Records Management Program through coordination of creation and disposition of records in accordance with the SARA Records Retention and Disposition Schedule.

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G. Records Management Program

Continuing administrative effort to manage recorded information from initial creation to final disposition, including the systematic disposal of obsolete records; to set up filing and indexing systems; to store active, inactive and archival records securely and cost efficiently; to microfilm where appropriate; to facilitate ease of access; to oversee, identify and appraise records of archival value.

H. Records Retention and Disposition Schedule

A time table detailing the length of time that the Village must retain records; provides the minimum length of time that Municipal Government records must be retained before disposal.

I. Retention Period

The length of time set forth in SARA Records Retention and Disposition Schedule that records must be maintained.

J. State Archives and Records Administration (SARA)

New York State's Administration responsible for the issuance of the Records Retention and Disposition Schedule (MU-1) and the assistance in interpretation and use of the MU-1.

SECTION 3 EXEMPTIONS:

The following records shall be exempt from the provisions of this chapter except as may be expressly regulated elsewhere in this Article:

Records not included within the MU-1 Retention and Disposition Schedule.

SECTION 4 PROHIBITIONS:

The following activities are prohibited:

Elimination or destruction of any records other than in accordance with the records disposal approval process through compliance with the Records Retention and Disposition Schedules.

SECTION 5 COMPLIANCE AND ENFORCEMENT:

It shall be the duty of the Records Management Officer and he/she is hereby given the power and authority to enforce the provisions of this Article.

SECTION 6 POWERS AND DUTIES OF RECORD MANAGEMENT OFFICER:

The Records Management Officer, in conjunction with each Department Head, shall be responsible for the coordination and disposition of records, in accordance with local, State and Federal Laws and regulations.

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The Records Management Officer shall be responsible for surveying existing records to determine which records may be transferred to inactive storage.

The Records Management Officer shall be responsible for working with local officials in the development and maintenance of the Records Management Program.

The Records Management Officer shall also be responsible for planning for the use of office facilities, included but not limited to computers and microfilm, to facilitate the retention or disposition of the Village's records.

The Records Management Officer shall also be responsible for the organization of files and records to enable ready access of records by official or the public from an indexed and accessible system.

The Records Management Officer shall also be responsible for the development of procedures, through an internal procedures manual, to establish records management and archival practices. The manual shall be used by all office personnel and shall include guidelines for the maintenance of records, including method of filing; location system; index system; and means of identifying time frames in which inactive records may be removed from each specific office.

The Records Management Officer shall also be responsible for the maintenance of a permanent record that identifies and dates all records that are destroyed or disposed of, and shall report such destruction or disposition to the Board of Trustees and/or the Mayor.

The Records Management Officer, or his/her designee, shall report annually to the

Village Board of Trustees on the powers and duties included in this Resolution.

SECTION 7 OVERSIGHT:

It shall be the duty and responsibility of the Records Advisory Board to assist in the development of a Records Management Program; to advise the Records Management Officer on the operation of the program; to review the performance of the Records management Program on a continuing basis and recommend improvements and changes as needed; to review requests to dispose of records that have passed the retention periods set forth on SARA schedules; and to assist with grant application and grant-funded projects.

On motion by Trustee Howard, duly seconded by Trustee Glynn and unanimously carried, the Board of Trustees formally adopted the Village of Rockville Centre Drug and Alcohol Policy.

**INCORPORATED VILLAGE OF ROCKVILLE CENTRE
DRUG AND ALCOHOL POLICY**

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WHEREAS, the use of alcoholic beverages and/or illegal drugs while on duty constitutes a threat to the health and safety of fellow employees and the general public; and

WHEREAS, the Drug-Free Workplace Act (Act) requires the Village of Rockville Centre (Village), as a direct recipient of a federal grant, to certify that it will provide a drug-free workplace as described in the Act, and

WHEREAS, it is in the best interests of the public and of the employees to provide a clearly delineated and uniform drug and alcohol policy:

- All employees are forbidden to use or possess alcohol or illegal drugs at any time during the workday or anywhere within the workplace.
- All employees are forbidden to engage in any sale or other transaction involving illegal drugs on the premises.
- The Village Administrator or any Department Head who has a reasonable suspicion that an employee is in an impaired or intoxicated condition during working hours may mandate that employee to be tested for drug or alcohol levels. Testing will be provided at a recognized testing facility at the Village's expense. If the employee is found to be impaired or intoxicated, or if the employee refused to be tested, he or she will be subject to immediate disciplinary action, including discharge. If the test reveals no impairment or intoxication, the employee will return to his or her shift without any loss of time or salary.
- Any violators of this policy shall be subject to disciplinary action, including discharge as provided by the applicable Collective Bargaining Agreement or other law.
- Employees working directly with federal funds and subject to the Drug-Free Workplace Act will receive an additional policy sheet governing the Act's requirements.
- The employee may call the designated Union representative who shall be present at the drug or alcohol testing site, as long as he/she arrives within one (1) hour after the incident. Should a representative of the Union either be unavailable, unreachable, or fail to appear within the aforesaid hour, then the employee shall be required to take the required test, or be subject to disciplinary action, including discharge.

On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously carried, the Board of Trustees formally adopted the Village of Rockville Centre Family and Medical Leave Policy.

INCORPORATED VILLAGE OF ROCKVILLE CENTRE

FAMILY AND MEDICAL LEAVE ACT (FMLA) POLICY

July 2, 2012

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PURPOSE: To establish a policy and guidelines for the use of family or medical leave for Village of Rockville Centre employees, consistent with the Rules and Regulations adopted by the United States Department of Labor.

Covered Family and Medical Reasons. As provided by the Family and Medical Leave Act (FMLA) of 1993, all eligible employees shall be entitled to 12 weeks of unpaid, job-protected leave during a 12 month period for one or more of the following reasons:

- 1) The birth and care of a newborn child or placement with the employee of a child for adoption or foster care;
- 2) to care for a spouse, child or parent with a serious health condition; or,
- 3) if a serious health condition makes the employee unable to perform the functions of his/her position.

Employees with questions about whether specific illnesses are covered under this policy or under the Village's sick leave policy should meet with a representative from the Human Resources/Payroll office.

Employee eligibility: An employee shall be entitled to family leave when he/she meets the following criteria:

- 1) The employee has worked for at least 12 months for the Village. The 12 months need not have been consecutive. (If the employee was on the payroll for part of a week, the Village will count the entire week. The Village considers 52 weeks to be equal to 12 months.)
- 2) The employee has to have worked for the Village for at least 1,250 hours over the 12 months before the leave would begin.
- 3) When both spouses are employed by the Village, they are jointly entitled to a combined total of 12 work weeks of family leave during any rolling 12-month period for the birth and care of newborn child or placement of a child for adoption or foster care, and to care for a parent who has a serious health condition.

Calculation of Leave: Eligible employees can use up to 12 weeks of leave during any 12 month period. The Village will use a rolling 12 month period measured backward from the date an employee first uses FMLA leave. Each time an employee uses leave, the Village computes the amount of leave the employee has taken under this policy, and subtracts it from the 12 weeks. The balance remaining is the amount the employee is entitled to take at that time. For example, if an employee has taken 5 weeks of leave in the past 12 months, he/she could take an additional 7 weeks under this policy. Eligibility for unpaid leave for the
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birth and care of a newborn child or for the placement with the employee of a child for adoption or foster care expires at the end of the 12 month period beginning on the date of the birth, adoption or placement. Any such leave must be concluded within this 12 month period.

Maintenance of Benefits: An employee shall be entitled to maintain group health and dental insurance coverage on the same basis as if he/she had continued to work at the Village. To maintain uninterrupted coverage, during a period of family or medical leave an employee who contributes to group health and/or dental insurance may choose to remain covered under the Village's group health and dental insurance plans on the same conditions, including the payment by the employee of the employee's share of the premiums for coverage, as would have been provided if the employee had been continuously employed during the leave period. While substituting paid leave during the period of family or medical leave, the Village will continue to make payroll deductions to collect the employee's share, if any, of the premiums for such coverages. While on unpaid leave, the employee who contributes must continue to make his/her contributions. Payment must be made monthly and must be received by the Village by the fifth day of the month. Payment must be made by check or money order payable to "Incorporated Village of Rockville Centre." An employee's failure to pay required premiums within 30 days of the due date will result in the cessation of the employee's group health and/or

dental insurance coverages during the entire remaining leave period.

Other benefits such as the accrual of seniority will not continue during leave. However, the use of family or medical leave will not be considered a break in service when vesting or eligibility to participate in benefit programs is being determined.

Job Restoration: An employee who utilizes family or medical leave under this policy will be restored to the same job or a job with equivalent status, pay, benefits and other employment terms.

The Village may choose to exempt certain highly compensated, "key" employees from this job restoration requirement and not return to the same or similar position at the completion of FMLA leave. Employees who may be exempted will be informed of this status when they request leave. If the Village deems it necessary to deny job restoration for a key employee on FMLA leave, the Village will inform the employee of its intention and will offer the employee the opportunity to return to work immediately.

An employee who has been on leave due to the employee's own serious health condition who wants to return to work must provide the Village with a certification from a health care provider that the employee is able to resume work. Return to employment will be denied if this certification is not provided.

Use of Paid and Unpaid Leave: If an employee has accrued paid leave of less than 12 weeks, the employee will use paid leave first and take the remainder of the 12 weeks as unpaid leave.

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If an employee uses leave because of the serious health condition of an immediate family member, the employee will first use all paid leave, and then will be eligible for unpaid leave.

An employee using leave for the birth of a child will use paid sick leave for physical recovery after childbirth. The employee then may use all paid vacation or personal leave for the care of the newborn child, and then will be eligible for unpaid leave for the remainder of the 12 weeks.

An employee using leave for the adoption or foster care of a child will use all paid vacation or personal leave first, and then will be eligible for unpaid leave for the remainder of the 12 weeks.

Intermittent Leave and Reduced Work Schedules: In certain cases, intermittent use of the 12 weeks of family or medical leave or a part of a reduced work week may be allowed by the Village. Employees wishing to use leave intermittently or to utilize a reduced work week for birth or adoption purposes will need to discuss and gain approval for such use from the employees' Department Head and the Village Administrator.

Employees may also use family or medical leave intermittently or as part of a reduced work week whenever it is medically necessary. If the need to use leave is foreseeable and based on preplanned and prescheduled medical treatment, then the employee is responsible to schedule the treatment in a manner that does not unduly disrupt the Village's operations. This provision is subject to the approval of the health care provider.

In some cases, the Village may temporarily transfer an employee using intermittent or a reduced work week to a different job with equivalent pay and benefits if another position would better accommodate the intermittent or reduced schedule.

Procedure for Requesting Leave: All employees requesting leave under this policy must submit a written request to their Department Head, with a copy to the Human Resources/Payroll Office.

An eligible employee must provide the Village with at least 30 days' advance written notice before the requested family or medical leave is to begin. If, under the circumstances, 30 days' notice is not practicable, notice must be given to the Village as soon as practicable.

An eligible employee requesting leave to care for the employee's spouse, child or parent with a serious health condition or because of the employee's own serious health condition is required to provide a certification (in the form acceptable to the Village) of the serious health condition from a health care provider. This certification must be provided within 15 calendar days after it is requested by the Village. Recertification of the serious health condition will also be required to be provided to the Village no more often than every 30 days during the leave period. Failure to

provide the required certification or recertification could result in the leave being refused or the leaving being discontinued, as the case may be.

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Qualified health care providers include: doctors of medicine or osteopathy, podiatrists, dentists, clinical psychologist, optometrists, and chiropractors, nurse practitioners and nurse midwives authorized to practice under State law and performing within the scope of their practice under state law and Christian Science practitioners.

When seeking certification of a serious medical condition, an employee should ensure that the certification contains the following:

- 1) The date when the condition began, the expected duration, a diagnosis and a brief statement of treatment.
- 2) If the employee is seeking medical leave for his/her own medical condition, certification should also include a statement that the employee is unable to perform the essential functions of the employee's position.
- 3) For a seriously ill family member, the certification should include a statement that the patient requires assistance and that the employee's presence would be beneficial or desirable.
- 4) If taking intermittent leave or working a reduced schedule, certification should include dates and duration of treatment and a statement of medical necessity for taking intermittent leave or working a reduced schedule.

If deemed necessary, the Village may ask for a second opinion. The Village will pay for the employee to get a certification from a second doctor, which the Village will select. If there is a conflict between the original certification and the second opinion, the Village may require the opinion of a third doctor. The Village and the employee will jointly select the third doctor, and the Village will pay for the opinion. The third opinion will be considered final.

On motion by Trustee Oppenheimer, duly seconded by Trustee Sepe and unanimously carried, the Board of Trustees formally adopted the Village of Rockville Centre Violence Prevention Policy.

VILLAGE OF ROCKVILLE CENTRE

WORKPLACE VIOLENCE PREVENTION POLICY

It is the policy of the Village of Rockville Centre (herein known as the Village) and the responsibility of the Village Administrator, all Department Heads, Supervisors and Employees to maintain a workplace free from threats and acts of violence in order to maintain a safe workplace for employees, residents and visitors to the Village.

Definition

Workplace Violence - "Any physical assault or acts of aggressive behavior occurring where a public employee

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performs any work-related duty in the course of his or her employment" 12 NYCRR Part 800.6(d) (11)

This includes the OSHA typologies.

- Type I - Strangers - vendors and visitors to the village
- Type II - Customers/Clients - e.g. village residents, program participants
- Type III - Co-Worker - present or former employees versus another employee
- Type IV - Personal - someone with a personal relationship with an employee

Non-Retaliation

Neither Village officials nor employees shall retaliate against or harass any person for reporting and/or identifying any type of incident or threat or violence

in the workplace.

II - PLAN

To implement zero tolerance of violence in, and around, the workplace

- A. The Village will provide a safe workplace.

The Village is committed to ensuring that the workplace provides for the safety of employees, residents and visitors including reasonable protection from workplace violence.

- B. The Village will attempt to reduce the potential for internal workplace violence by positively affecting attitudes and behaviors of its employees

Creating a low-risk work environment:

The Village Administrator, department heads and supervisors are expected to promote positive behavior and to lead by example, by treating employees with the respect and dignity each person deserves. Emphasis will be placed on creating a workplace where established standards of conduct are clear, communicated, and consistently enforced, and where discipline is used fairly and appropriately to deal with instances of unacceptable behavior.

Supervisory Training:

Department heads and supervisors will be provided with training in how to deal with workplace-related threats and acts of violence. This training will focus on prevention, recognition and de-escalation of violence, will include suggestions for appropriate responses to threats and acts of violence, and will identify those resources which are available for use once a potential problem has been identified, or an incident has occurred.

Employee Training:

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Employees will receive training in threat awareness, and appropriate responses to aggressive, threatening and violent behaviors. Assessment of additional training needs from employees will be made upon request of the unit supervisor, and appropriate training programs will be developed and presented.

Employee Assistance Program (EAP): The Village will encourage use of the EAP as an assessment, short-term counseling and referral agency. While supervisors, union representatives, or family members may encourage employees to seek help, the decision to use EAP services is voluntary. Informational brochures and other media will be used to familiarize all employees with the services offered by the EAP.

Self-help: Employees may also choose to seek assistance from private health services to deal with pressures, stress, emotional problems, or other personal issues which could, if ignored, lead to threats or acts of violence. The Village encourages employees to utilize all available resources and will provide information on how to take advantage of other options for the resolution of personal and work-related problems which may have potential for escalating to a violent incident.

Reviewing Risk Factors: The factors identified by the New York State Department of Labor that may place employees at risk of workplace violence include, but are not limited to the following:

- Working in public settings
- Working late at night or early in the morning
- Exchanging money with the public
- Working alone or in small numbers
- Working in a location with uncontrolled public access to the workplace
- Other areas of the workplace with previous security problems.

The Village of Rockville Centre is aware of these factors and is committed to providing a work environment that is safe, secure and free of violence.

- C. The Village will effectively deal with threats of violence, and with actual incidents of violence.

Supervisory Responsibilities: Department heads and supervisors have primary responsibility for ensuring a safe work environment. They are specifically empowered to take immediate action to resolve or stabilize violent situations in

the workplace, and to protect people from harm.

- Supervisors will ensure that, when a threat is made or a violent incident occurs, appropriate incident response resources are notified immediately.
- Department heads will also ensure that appropriate disciplinary responses to internal workplace violence

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and aggression are made, in coordination with the Village Administrator and labor contracts.

Appropriate EAP services will be made available to employees who have experienced or witnessed violence in the workplace.

Employee Responsibilities. All employees are expected to read, understand and comply with the Village Workplace Violence Program, as well as attend required training. Employees are encouraged to participate in the design, implementation, and periodic revision of this Plan. Any employee or his or her authorized employee representative who believes that a serious violation of the employer's workplace violence protection program exists, or that a workplace violence imminent danger exists, shall bring such matter to the attention of his/her supervisor and manager.

- D. The Village will work to eliminate non-authorized dangerous weapons from the workplace.

The possession of any dangerous weapon, including any firearm, in any Village premise by any person other than a law enforcement officer in the course of his/her duty, is strictly prohibited. See Appendix, for a list of dangerous weapons included in this prohibition.

III - PLAN IMPLEMENTATION

Copies of this policy and plan will be distributed to all employees, and will be posted on employee bulletin boards. Department heads and supervisors will be responsible for informing employees of this policy and plan, and for maintaining compliance with them. This policy and plan will be provided to all current and new employees. A copy of this plan will also be in the Employee Manual.

In-Service Employee Training on Violence Prevention
Training needs related to employee violence prevention will be developed and presented to Village employees annually. This training will incorporate education of the Village Policy and Plan; Types of violence and how to recognize it; How to mitigate potential violence and deal with acts of violence; Resources available.

Employee Assistance Program - The Village will continue to provide education about the services available through the EAP, to help employees deal with concerns and issues related to workplace or family violence.

Responsibility

The Village Administrator will coordinate the Village response to workplace-related threats and acts of violence. All reports of incidents or threats will be investigated by the Village Administrator or his designee.

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Department heads, and supervisors will continue to have primary responsibility for ensuring a safe workplace, for monitoring and resolution of employee conflicts or disputes, for taking appropriate corrective action when potentially violent situations develop and for working with the Village Administrator when threats or acts of violence occur.

Record Keeping will include an incident reporting system to ensure that all threats and workplace violence incidents are reported to management. Written notification will help management develop an appropriate response, will create a historical record and can be used in the annual risk assessment and program evaluation. The Village Administrator will maintain a confidential file of Workplace Violence incident reports and notes. If allegations against an employee are substantiated, copies will also be placed in the perpetrators personnel file.

Enforcement Any employee determined to have committed such acts will be subject to disciplinary action up to and including termination.
Non-employees engaging in violent or threatening behavior will be reported to the police department for investigation and prosecution.

Program Effectiveness and Evaluation -The Village will evaluate the effectiveness of the Workplace Violence Prevention Program at least annually or after serious incidents in order to further advance this plan, and identify and address specific action items.

IV - APPENDIX

DANGEROUS WEAPONS

For purposes of this Plan and Policy, the following items are considered to be "dangerous weapons":

- Any weapon which, per applicable law, is illegal to possess
- Any firearm, loaded or unloaded, assembled or disassembled, including pellet, "BB", and stun guns (electronic incapacitation devices)
- Replicate firearms
- Knives (and other similar instruments) with a blade length of more than three inches, other than those present for specific work-related purpose
- Any "switchblade" knife or "gravity" knife.
- "Brass knuckles", "metal knuckles", and similar weapons
- Bows, cross-bows and arrows
- Explosives and explosive devices, including fireworks and incendiary devices
- Pepper spray or aerosol mace.
- "Throwing stars", "numchucks", clubs, 'slappers', and any other item commonly used as, or primarily intended for use as, a weapon
- Any object that has been modified to serve as, or has been employed as, a dangerous weapon

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PROHIBITED CONDUCT

For purposes of this Plan and Policy, Workplace violence may include but is not limited to behaviors or actions that involve the potential for aggression or violence such as:

- Intimidating, harassing, bullying, belligerent, or other inappropriate and aggressive behavior.
- Direct or implied threats
- Intimidation including telephone or electronic harassment and stalking
- Pushing, shoving or fighting
- Physical assault
- Unauthorized possession of a weapon
- Damage to property including vandalism
- Stalking a person to cause fear which has arisen as a result of employment with the Village
- Surveillance

This policy also prohibits making deliberately false or misleading reports of threats or violence. Individuals who make such reports will be subject to disciplinary action up to and including dismissal.

On motion by Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, the Board of Trustees formally adopted the Village of Rockville Centre Anti-Nepotism Policy.

ANTI-NEPOTISM POLICY

Adopted March 31, 2008, amended May 19, 2008

Resolved, that the Board of Trustees of the Village of Rockville Centre hereby adopts the following Policy, effective immediately:

1. Public service is a matter of public trust. As such, the purpose of this policy is to avoid the appearance of improper favoritism to family members in initial employment and in the promotion of current employees. The Village adopts the policies herein to preserve the trust placed in the Village's public servants, to promote public confidence in the Village government, to protect the integrity of Village decision making and to enhance the effectiveness of Village government.

2. This policy shall apply to initial employment and promotion only. Nothing in this policy shall be construed to apply to lateral assignments or lateral appointments of employees.

3. Definitions. As used in this Policy,

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"Immediate family" means a spouse, domestic partner, child, stepchild or dependent, parent, grandparent, brother, sister, brother-in-law, sister-in-law or cousin, or any other person related closer in degree than first cousin by blood or by marriage.

"Nepotism" means favoritism shown to an immediate family member.

"Village official or employee" means any elected or appointed official or employee of the Village of Rockville Centre, whether paid or unpaid, including any member of any Village board, commission or agency.

"Village employment" means any Village position, whether paid or unpaid, elected or appointed, including a position on any Village board, commission or agency, provided, however, that the term shall not include any position as a seasonal employee.

4. No Village official or employee shall use or attempt to use such person's official position to secure Village employment for an immediate family member, nor otherwise engage in conduct that creates an inference of nepotism.

5. Every Village official and every Village employee whose immediate family member is seeking Village employment shall disclose such relationship as provided in this Policy.

6. Any person seeking Village employment, or who is aware of being under consideration for Village employment, shall make disclosure as required by this Policy with respect to any immediate family member of such person who is a Village official or employee.

7. Every person who is a Village official and/or Village employee on the effective date of this policy shall, within thirty days after receipt of notice from the Village Administrator to do so, complete and file with the Village Administrator a written disclosure as to any member of such person's immediate family who is a Village official or employee.

8. The disclosures required by this Policy shall be made in writing, and filed with the Village Administrator, at the earliest opportunity following the date upon which a Village official or employee first learns or has knowledge of any application or request for Village employment by a member of such person's immediate family. Promptly upon receipt of such disclosure, the Village Administrator shall provide a copy thereof to the Mayor and Trustees.

9. It is the policy of the Village to avoid, wherever possible, the hiring of immediate family members of employees, and the promotion of employees, such that the result would be any of the following:

A. Where one of the immediate family members would have the authority (or practical power) to supervise, appoint, remove, discipline or audit the work of the other;

B. Where both immediate family members would report to the same immediate supervisor, or

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C. Where other circumstances might lead to potential conflict among the immediate family members, or conflict between the interest of either or both such family members and the best interest of the Village.

However, in a proper case, the appointing or employing Village official or employee may determine that it is in the best interests of the Village to employ or appoint a person notwithstanding the foregoing Policy, and in such case the appointing or employing Village official or employee shall file with the Village Administrator a written statement of such determination and the reasons therefor.

10. Any Village official or employee determined to have intentionally violated the disclosure requirements of this Policy will be subject to appropriate disciplinary action, which may include termination.

On motion by Trustee Howard, duly seconded by Trustee Glynn and unanimously carried, the Board of Trustees formally adopted the Village of Rockville Centre Cyber Security Citizens' Notification Policy.

**Cyber Security Citizens' Notification Policy
Incorporated Village of Rockville Centre**

A. This policy is consistent with the State Technology Law, § 208 as added by Chapters 442 and 491 of the Laws of 2005. This policy requires notification to affected New York residents and non-residents. New York State values the protection of

private information of individuals. The Village of Rockville Centre (Village) is required to notify an individual when there has been or is reasonably believed to have been a compromise of the individual's private information, in compliance with the Information Security Breach and Notification Act and this policy.

B. The Village, after consulting with the State's Office of Cyber Security and Critical Infrastructure Coordination (CSCIC) to determine the scope of the breach and restoration measures, must notify an individual when it has been determined that there has been, or is reasonably believed to have been a compromise of the individual's private information through unauthorized disclosure.

C. A compromise of private information means the unauthorized acquisition of unencrypted computerized data with private information.

D. If encrypted data is compromised along with the corresponding encryption key, the data is considered unencrypted and thus falls under the notification requirements.

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E. Notification may be delayed if a law enforcement agency determines that the notification impedes a criminal investigation. In such case, notification will be delayed only as long as needed to determine that notification no longer compromises any investigation.

F. The Village will notify the affected individual directly by one of the following methods:

1. Written notice;
2. Electronic notice, provided that the person to whom notice is required has expressly consented to receiving notice in electronic form and a log of each notification is kept by the Village that notifies affected persons in such form;
3. Telephone notification, provided that a log of each notification is kept by the Village that notifies affected persons; or
4. Substitute notice, if the Village demonstrates to the state Attorney General that the cost of providing notice would exceed \$250,000, that the affected class of persons to be notified exceeds 500,000, or that The Village does not have sufficient contact information. The following constitute sufficient substitute notice:
 - a. E-mail notice when the Village has an e-mail address for the subject persons;
 - b. Conspicuous posting of the notice on the Village's web site page, if the

Village maintains one; and
c. Notification to major statewide media.

- G. The Village must notify, CSCIC as to the timing, content and distribution of the notices and approximate number of affected persons.
- H. The Village must notify the Attorney General and the Consumer Protection Board, whenever notification to a New York resident is necessary, as to the timing, content and distribution of the notices and approximate number of affected persons.
- I. Regardless of the method by which notice is provided, the notice must include contact information for the Village making the notification and a description of the categories of information that were, or are reasonably believed to have been, acquired by a person without valid authorization, including specification of which of the elements of personal information and private information were, or are reasonably believed to have been, so acquired.
- J. This Policy also applies to information maintained on behalf of the Village by a third party.

When more than 5,000 New York residents must be notified at one time, then the Village must notify the consumer reporting agencies as to the timing, content and distribution of the notices and the approximate number of affected individuals. This notice, however, will be made without delaying notice to the individuals.

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Definitions

Consumer Reporting Agency: Any person which, for monetary fees, dues, or on a cooperative nonprofit basis, regularly engages in whole or in part in the practice of assembling or evaluating consumer credit information or other information on consumers for the purpose of furnishing consumer reports to third parties, and which uses any means or facility of interstate commerce for the purpose of preparing or furnishing consumer reports. The state attorney general is responsible for compiling a list of consumer reporting agencies and furnishing the list upon request to The Village.

Data: Any information created, stored (in temporary or permanent form), filed, produced or reproduced, regardless of the form or media. Data may include, but is not limited to personally identifying information, reports, files, folders, memoranda, statements, examinations, transcripts, images, communications, electronic or hard copy.

Information: The representation of facts, concepts, or instructions in a formalized manner suitable for communication, interpretation, or processing by human or automated means.

Personal Information: Any information concerning a natural person which, because of name, number, personal mark or other identifier, can be used to identify such natural person.

Private Information: Personal information in combination with any one or more of the following data elements, when either the personal information or the data element is not encrypted or encrypted with an encryption key that has also been acquired:

1. social security number; or
2. driver's license number or non-driver identification card number; or
3. account number, credit or debit card number, in combination with any required security code, access code,

or password which would permit access to an individual's financial account
"Private information" does not include publicly available information that is lawfully made available to the general public from federal, state, or local government records.

Third Party: Any non-municipal employee such as a contractor, vendor, consultant, intern, other municipality, etc.

On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously carried, the Board of Trustees formally adopted the Village of Rockville Centre Mailing List Policy.

INCORPORATED VILLAGE OF ROCKVILLE CENTRE
MAILING LIST POLICY

Resolved, that the Board of Trustees of the Village of Rockville Centre hereby adopts the following policy, effective

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immediately, with regard to access to or use of Village mailing lists:

1. The Village shall comply with the Freedom Of Information Law when making its mailing list (s) available for any purpose other than a mailing by or on behalf of the Village or any of its various officers, boards or agencies;

2. The Village mailing list shall be available, upon payment of fees (if any) according to a fee schedule to be adopted or amended from time to time by the Board of Trustees, to governmental and/or not for profit organizations which are primarily based in the Village and/or which primarily serve Village residents;

3. The Village mailing list shall also be available, upon payment of fees (if any) according to a fee schedule to be adopted or amended from time to time by the Board of Trustees, to organizations or entities which are not described in paragraph 2 hereof, for the purposes of mailings to employees of the Village and/or Village residents with respect to matters directly relating to activities of such organizations conducted for the benefit of employees of the Village.

On motion by Trustee Oppenheimer, duly seconded by Trustee Sepe and unanimously carried, the Board approved attendance by certain municipal officials and employees (i.e., Mayor, Board of Trustees, Village Attorney, Village Administrator, Deputy Village Administrators, Village employees) at one or more New York State Conference of Mayors (NYCOM) related meetings, conferences or schools, as well as the United States Conference of Mayors, the National League of Cities, AWWA (American Water Works Association), NYSAWWA (New York State Section of the American Water Works Association), LIWC (Long Island Water Conference), NYSRWA (New York State Rural Water Association), the APPA (American Public Power Association), the APWA (American Public Works Association), the New York State Department of Environmental Conservation (NYSDEC), the New York Association of Public Power (NYAPP), the Northeast Public Power Association (NEPPA), the International Code Council, the New York State Building Officials Conference, Inc., Nassau County Village Officials Association (NCVOA) and the Nassau County Building Inspector's Association. Meetings benefit the municipality and, therefore, attendance at these meetings, conferences or schools is hereby approved for one (1) year commencing July 2, 2012.

APPOINTMENTS:

Mayor Murray appointed Keith M. Spadaro as Village Clerk-Treasurer/Village Administrator to serve a term running concurrently with the Mayor commencing July 2, 2012.

On motion by Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, said appointment was approved.

Mayor Murray appointed Michael Schussheim as Comptroller for a term of one official year, and as Deputy Village Administrator-

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Finance to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Howard, duly seconded by Trustee Glynn and unanimously carried, said appointment was approved.

Mayor Murray appointed Paul J. Pallas as Superintendent of Electric to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously carried, said appointment was approved.

Mayor Murray appointed Keith M. Spadaro as Census Coordinator to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Oppenheimer, duly seconded by Trustee Sepe and unanimously carried, said appointment was approved.

Mayor Murray appointed Charles A. Gennario as Commissioner of Police/Director of Civil Defense to serve a term of two years commencing April 1, 2012.

On motion by Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, said appointment was approved.

Mayor Murray appointed Gary Kondor as Emergency Management Officer to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Howard, duly seconded by Trustee Glynn and unanimously carried, said appointment was approved.

Mayor Murray appointed Daniel V. Casella as Deputy Emergency Management Officer to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously carried, said appointment was approved.

Mayor Murray appointed A. Thomas Levin as Village Attorney to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Oppenheimer, duly seconded by Trustee Sepe and unanimously carried, said appointment was approved.

RESOLUTION APPROVING APPOINTMENT OF VILLAGE ATTORNEY

RESOLVED, that the Mayor's appointment of A. THOMAS LEVIN as Village Attorney, to serve at the pleasure of the Mayor, is approved, and the compensation of the Village Attorney shall be \$250.00 per hour.

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On motion of Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, following Resolution Retaining Special Counsel is approved.

RESOLUTION RETAINING SPECIAL COUNSEL

RESOLVED, that the Board of Trustees hereby authorizes the Mayor to retain the services of A. THOMAS LEVIN and MEYER, SUOZZI, ENGLISH & KLEIN, P.C., as Special Counsel, to provide legal services as may be requested or required by the Village through the Mayor or other Village officials (other than tax certiorari matters or labor matters), and it is further

RESOLVED, that the said Special Counsel shall be compensated at the rate of \$250.00 per hour for such legal services, plus reimbursement of reasonable and necessary disbursements incurred in connection with the performance of such legal services, which sums shall be billed to the Village monthly, except that non-professional personnel shall be compensated at the customary billing rates of such personnel not to exceed \$250.00 per hour, and it is further

RESOLVED, that the Board of Trustees acknowledges that Meyer, Suozzi, English & Klein PC represents various parties adverse to the Village of Rockville Centre in tax certiorari matters, and the Board of Trustees hereby waives any objection to the said firm continuing to represent parties adverse to the Village in such matters.

Mayor Murray appointed Monica Farrell as Deputy Village Clerk-Treasurer to serve for a term of one year July 2, 2012.

On motion by Trustee Howard, duly seconded by Trustee Glynn and unanimously carried, said appointment was approved.

Mayor Murray appointed Anthony T. Brunetta as Superintendent of Parks & Recreation to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously

carried, said appointment was approved.

Mayor Murray appointed Daniel V. Casella as Superintendent of Buildings to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Oppenheimer, duly seconded by Trustee Sepe and unanimously carried, said appointment was approved.

Mayor Murray appointed Harry L. Weed as Superintendent of Public Works to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

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On motion by Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, said appointment was approved.

Mayor Murray appointed Cyd B. Charrow as Director of Senior Services to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Howard, duly seconded by Trustee Glynn and unanimously carried, said appointment was approved.

Mayor Murray appointed John A. Peters as Director of Information Technology to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously carried, said appointment was approved.

Mayor Murray appointed Daniel V. Casella as Village Assessor to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Oppenheimer, duly seconded by Trustee Sepe and unanimously carried, said appointment was approved.

Mayor Murray appointed the following Deputy Village Attorneys for a period of one (1) year commencing July 2, 2012, with the understanding that they are to report to and be responsible to the Village Attorney.

Scott Carrigan	Charles Lapp III
Joseph A. Gentile	Jennifer Milone
Emilio F. Grillo	Michelle Sheehan Prior
Robin Mary Heaney	Mindy Roman
Donna Hitscherich	Frank Alleva
Kerry L. Simmons	Alan Stein
Lee D. Kleinhardt	Susan Boland

On motion by Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, said appointment was approved.

Mayor Murray designated the following law firms to represent the Incorporated Village of Rockville Centre, with the understanding that they are to report to, and be responsible to, the Village Attorney:

Hammill, O'Brien, Croutier, Dempsey & Pender
Cullen and Dykman LLP
Meyer, Suozzi, English & Klein, P.C.
Cherry, Edson & Kelly
Zaklukiewicz, Puzo & Morrissey
Duncan, Weinberg, Genzer and Pembroke
Goldberg Segalla
Bond, Schoeneck & King, PLLC
Sahn, Ward & Baker
Mulholland-Minion, Duffy, Davey, McNiff and Beyrer
Havkins, Rosenfeld, Ritzert & Varriale, LLP

On motion by Trustee Howard, duly seconded by Trustee Glynn and unanimously carried, said appointment is approved.

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Mayor Murray appointed Keith M. Spadaro as Liaison Signatory Nassau County Civil Service Commission to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously carried, said appointment was approved.

Mayor Murray appointed Eugene J. Murray as Honorary Village Ambassador to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Oppenheimer, duly seconded by Trustee Sepe and unanimously carried, said appointment was approved.

Mayor Murray appointed Mary C. Schmeling as Secretary to the Board of Trustees to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, said appointment was approved.

Mayor Murray appointed Erin McLaughlin as Registrar of Vital Statistics to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Howard, duly seconded by Trustee Glynn and unanimously carried, said appointment was approved.

Mayor Murray appointed Martha Anselmo as Deputy Registrar to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously carried, said appointment was approved.

Mayor Murray appointed Keith M. Spadaro as the Village Records Management Officer to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Oppenheimer, duly seconded by Trustee Sepe and unanimously carried, said appointment was approved.

Mayor Murray appointed Cyd B. Charrow as Affirmative Action/Equal Employment Officer to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, said appointment was approved.

Mayor Murray appointed Wendy Weinstock as Deputy Affirmative Action/Equal Employment Officer to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Howard, duly seconded by Trustee Glynn and unanimously carried, said appointment was approved.

Mayor Murray appointed Salvatore Romanello to serve as a member of the Zoning Board of Appeals for a period of five (5) years commencing July 2, 2012.

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On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously carried, said appointment was approved.

NEW BOARD

J. Robert Schenone, 2013 (Chair)
M. Friedman, 2014
R. Ventura, 2015
J. Kelly, 2016
S. Romanello 2017

Mayor Murray announced that J. Robert Schenone is to serve as Chairperson of the Zoning Board of Appeals for a period of one (1) year commencing July 2, 2012.

On motion by Trustee Oppenheimer, duly seconded by Trustee Sepe and unanimously carried, said appointment was approved.

Mayor Murray appointed the following as Alternate Members of the Zoning Board of Appeals, if the need arises.

David M. Blumenthal
Maureen Gibbons

Carolyn T. Stone
Donna Brown

Fred Levine

James Bianco

On motion by Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, said appointment was approved.

Mayor Murray appointed Christopher Palmer to serve as Counsel to the Zoning Board of Appeals to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Howard, duly seconded by Trustee Glynn and unanimously carried, said appointment was approved.

Mayor Murray appointed Ryan Caso to serve as Alternate Counsel to the Zoning Board of Appeals to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously carried, said appointment was approved.

Mayor Murray appointed Daniel V. Casella to serve as Secretary to the Zoning Board of Appeals to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Oppenheimer, duly seconded by Trustee Sepe and unanimously carried, said appointment was approved.

Mayor Murray appointed Donna Joyce to serve as a Member of the Planning Board for a period of five (5) years commencing July 2, 2012.

On motion by Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, said appointment was approved.

Mayor Murray appointed David Resnick to serve as Chairperson of the Planning Board for a period of one (1) year commencing July 2, 2012.

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On motion by Trustee Howard, duly seconded by Trustee Glynn and unanimously carried, said appointment was approved

NEW BOARD

M. B. Kearns, 2013
D. Resnick, 2014 (Chair)
M. Meyer, 2015
J. Schoen, 2016
D. Joyce, 2017

Mayor Murray appointed the following as Alternate Members of the Planning Board, if the need arises.

Thomas Gallucci	Stephen F. McLoughlin
Peter Szczerba	Charles Cellura
Patrick O'Brien	Bryan A. McKenna
Maureen Quinn	

On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously carried, said appointments were approved.

Mayor Murray appointed Charles J. Casolaro to serve as Counsel to the Planning Board to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Oppenheimer, duly seconded by Trustee Sepe and unanimously carried, said appointment was approved.

Mayor Murray appointed Thomas Domanico as Secretary to the Planning Board to serve at the pleasure of the Mayor and Board of Trustees commencing July 2, 2012.

On motion by Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, said appointment was approved.

The Board of Trustees appointed the following members to serve on the Rockville Centre Board of Ethics for a period of one (1) year commencing July 2, 2012.

Rev. Harold K. Kuehne
Rabbi Howard Diamond
Msgr. William Koenig
Robert C. Williams

Vincent L. Vario
Martha Krisel
Gary Kondor
Charles J. Casolaro, Counsel to Board of Ethics

On motion by Trustee Howard, duly seconded by Trustee Glynn and unanimously carried, said appointment was approved.

The Board of Trustees appointed the following members to serve on the Human Rights Commission for a period of one (1) year, commencing July 2, 2012.

Msgr. William Koenig (St. Agnes), Chair
Rev. Robert Gunn (Pastor United Church)
Rabbi Marc Gruber (Central Synagogue)
Mollie Poulson

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Ruth Keller
Ann Pagnotta

On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously carried, said appointment was approved.

Mayor Murray appointed William Devlin to serve as a member of the Housing Authority for a period of five (5) years commencing July 2, 2012.

NEW MEMBERS

R. Pagnotta, 2013
J. Tomaszewski, 2014
G. Kondor, 2015
P. Dordal, 2016
W. Devlin, 2017

Mayor Murray appointed the following members to serve as a Board of Examining Plumbers for a period of one (1) year, commencing July 2, 2012.

James Armellino (Turnabout Plumbing & Heating, Inc.) Chairperson
Tom Sullivan (Island Wide Plumbing)
Larry Ulip (Ulip Plumbing & Heating)
Ken Moore (Ken Moore Plumbing)

On motion by Trustee Oppenheimer, duly seconded by Trustee Sepe and unanimously carried, said appointment was approved.

On motion by Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, the Board named six individuals as regular members of the Architectural Design Consultants Board for a one-year period commencing July 2, 2012.

Denise Bradley, Chairperson
Robert Roble
Michael J. Bonacasa
Charles R. Beckert
Vincent Iacobellis
George Bella

Mayor Murray appointed the following members to serve on the Insurance Committee commencing July 2, 2012. None of the parties on the committee has business with the Village nor plans to solicit business with the Village, nor will they be able to solicit for two years following their commitment to this committee:

Jeff Greenfield
Chris Petrocello
John Bender

Mayor Murray appointed the following members to serve as Special Advisors to the Mayor commencing July 2, 2012.

Bridget Kelly
Suzanne Sullivan
James St. John

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Mayor Murray announced the following Liaison Assignments:

TRUSTEE NANCY HOWARD

Deputy Mayor
Parks and Recreation Department
RVC Board of Education
Youth Council
Civic Associations
Information Technology
Board of Ethics
Engineering Department
Martin Luther King Center
Chamber of Commerce
Traffic, Transportation and Parking
RVC Conservancy

TRUSTEE KEVIN R. GLYNN

RVC Fire Department
Chamber of Commerce
Electric
Public Works
Senior Services
Traffic, Transportation and Parking
Village Court
Library
Citizens Budget Advisory Committee
Community Development
Water Department

TRUSTEE EDWARD J. OPPENHEIMER

Chamber of Commerce
Traffic, Transportation and Parking
Cable and Communications
Comptroller
Finance Committee
Housing Authority
Museum/Historical Committee
CONFIDE
Economic Opportunity Council

TRUSTEE MICHAEL SEPE

RVC Police Department
Board of Zoning Appeals
Chamber of Commerce
Hispanic Brotherhood
Traffic, Transportation and Parking
Building Department
Planning Board
Tenant/Landlord
Guild for the Arts
Human Rights
Nassau County Legislature

July 2, 2012

Rockville Centre, New York

Mayor Murray advised that all Trustees participate in all parts of the Government and attend many of the meetings.

Mayor Murray advised that the individuals involved in the following Departments will report to the entire Board of Trustees:

Village Administrator/Clerk-Treasurer
Village Attorney

On motion by Trustee Howard, duly seconded by Trustee Glynn and unanimously carried, the Board designated the Rockville Centre Herald as the official newspaper of the Village,

to remain as such at the pleasure of the Mayor and Board of Trustees.

On motion by Trustee Glynn, duly seconded by Trustee Oppenheimer and unanimously carried, the Board adopted the following Resolution:

R E S O L U T I O N

It is hereby Resolved that the Village has established and organized itself into the following Department Units:

1. Police Department
2. Building Department
3. Public Works Department
4. Parks and Recreation Department
5. Martin Luther King Center
6. Electric Department
7. Water Department
8. Comptroller's Department
9. Senior Services Department
10. Clerk-Treasurer's Department
11. Village Court
12. Information Technology Department (MIS)

Each of the aforesaid Departments shall continue to prepare its proposed Operating Budget and submit said proposed Budget to the Budget Officer.

On motion by Trustee Sepe, duly seconded by Trustee Howard and unanimously carried, the Board adjourned the Organizational Meeting at 7:25 p.m.

Keith M. Spadaro
Village Administrator

KMS/ms